

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Wenbing Yun *et al.* Confirmation No: 6651  
Application No: 10/688,187 Group: 2872  
Filed: October 17, 2003 Examiner: Lavarias, Arnel C.  
For: Fabrication Methods for Micro Compound Optics  
Customer No.: 29127

Attorney Docket No.	0002.0005US1
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### **RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

FACSIMILE: 571-273-8300  
Mail Stop Amendment  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In response to the pending Notice of Non-Compliant Amendment, mailed November 28, 2006 (Paper No. 20061115), applicants' attorney hereby requests withdrawal of the Notice of Non-compliance.

The Notice set forth two grounds for non-compliance: 1) lack of proper status identifiers for claims 2-20; and 2) the Amendment was unsigned.

It is respectfully urged that that claims 2-20 have the proper status identifiers and the Amendment was properly signed.

Status Identifiers for Claims 2-20

The Amendment filed on May 1, 2006, in response to the Office Action dated January 31, 2006, uses the status identifier "original" for claims 2-20 since each of these claims has yet to be amended in the prosecution of this application.

### Signature

The amendment was properly signed in accordance with the Rule 37 CFR §1.4(d)(2)(i):

(2) *S-signature*. An S-signature is a signature inserted between forward slash marks, but not a handwritten signature as defined by § 1.4(d)(1). An S-signature includes any signature made by electronic or mechanical means, and any other mode of making or applying a signature not covered by either a handwritten signature of § 1.4(d)(1) or an Office Electronic Filing System (EFS) character coded signature of § 1.4(d)(3). Correspondence being filed in the Office in paper, by facsimile transmission as provided in § 1.6(d), or via the Office Electronic Filing System as an EFS Tag(ged) Image File Format (TIFF) attachment, for a patent application, patent, or a reexamination proceeding may be S-signature signed instead of being personally signed (*i.e.*, with a handwritten signature) as provided for in paragraph (d)(1) of this section. The requirements for an S-signature under this paragraph (d)(2) are as follows.

(i) The S-signature must consist only of letters, or Arabic numerals, or both, with appropriate spaces and commas, periods, apostrophes, or hyphens for punctuation, and the person signing the correspondence must insert his or her own S-signature with a first single forward slash mark before, and a second single forward slash mark after, the S-signature (e.g., /Dr. James T. Jones, Jr./); and

(ii) A patent practitioner (§ 1.32(a)(1)), signing pursuant to §§ 1.33(b)(1) or 1.33(b)(2), must supply his/her registration number either as part of the S-signature, or immediately below or adjacent to the S-signature. The number (#) character may be used only as part of the S-signature when appearing before a practitioner's registration number; otherwise the number character may not be used in an S-signature.

(iii) The signer's name must be:

(A) Presented in printed or typed form preferably immediately below or adjacent the S-signature, and

(B) Reasonably specific enough so that the identity of the signer can be readily recognized.

The amendment was signed as follows: /grant houston/. This appears to be in compliance with the rule.